

SCHOTT AG · P.O. Box 2480 · 55014 Mainz

To our customers

**SCHOTT AG**

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Date: December 18, 2014  
E-mail: ehs-compliance.ao@schott.com

**REACH declaration of conformity**

Dear Sir or Madam,

We would like to take this opportunity to inform you about the current status of the REACH implementation within our company, SCHOTT AG.

The current chemical policy of the European Union, the REACH regulation, entered into force on 1<sup>st</sup> June 2007. It regulates the manufacture, the import and the placing on the market of substances, substances in preparations and substances in articles.

Whereas the mainly part of the glass is exempted from the registration duty (Annex V (11) REACH), there are some types of our glass not included in this exemption. Accordingly we have decided to pre-register Glass before 1. December 2008 (Reference No. 05-2116788923-26-0000) and submitted a registration dossier (Reference No. 01-2119990048-30-0000) for selected glasses in time (May 31, 2013).

Our responsibilities are defined with Title IV, Articles 31 to 36 „Information in the Supply Chain“ and with Title V, Articles 37 to 39 „Downstream Users“. We hereby confirm, that we are fully aware of our obligations and of the legal requirement to be compliant with the defined responsibilities. Furthermore we confirm the timely implementation of all corresponding organizational steps in order to ensure compliance with REACH.

Under REACH legislation all our products are defined as “articles”, because their function is mainly defined by size, shape or design and not by chemical composition. In particular, we are aware of our duties as a supplier of “articles” according to Article 33 REACH regulation. We are obliged to provide information to our customers regarding substances in our products that

- meet the criteria in Article 57
- are identified in accordance with Article 59 (1, 10) REACH regulation, published in a candidate list by ECHA (updated December 17, 2014) as substances of very high concern in a concentration above 0,1 % weight by weight (w/w).

Since our products consist mainly of glass and glass, as a “substance”, is not included in the candidate list, currently our products are exempt from any information duties under REACH.

SCHOTT AG

Chairman of the Supervisory Board: Prof. Dr. Dieter Kurz

Board of Management: Dr. Frank Heinrich (Chairman), Dr. Hans-Joachim Konz, Klaus Rübenthaler

Headquarters: Mainz · Amtsgericht Mainz · HR B 8555 · UST-Id.-Nr.: DE811120270

Commerzbank AG, Mainz · Sort Code 550 400 22 · Account Number 2 260 008 00 · SWIFT: COBADEFF550 · IBAN-Nr. DE92550400220226000800

Deutsche Bank AG, Mainz · Sort Code 550 700 40 · Account Number 0 123 265 00 · SWIFT: DEUTDE5M · IBAN-Nr. DE71550700400012326500

However for the production of glass we may use "substances", which are already on the candidate list and which will probably be included in Annex XIV of the REACH regulation. These substances are not present as such in the final glass; they are fully integrated into the glass matrix through the melting process. The information duties of Article 33 therefore do not apply.

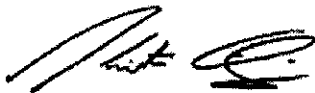
We have checked with our suppliers that all necessary raw materials for the glass production are treated consistently within REACH.

Should you have any questions, please do not hesitate to contact me, phone +49 (0)6131-66-2155, for production sites of Advanced Optics worldwide.

Yours sincerely,

SCHOTT AG

i. V.



Dr. Kristian Eichgrün  
Director Quality Management  
Advanced Optics

i.A.



Norbert Schmitt  
Quality Management  
Advanced Optics